

## QFC Activities Summary: 1 April 2012 to 31 March 2013

Issued by	Description	Consultation Date / Council of Ministers Consent Date	Enactment Date	Promulgation / Effective Date
<b>REGULATIONS</b>				
QFC Authority	Special Company Regulations	24 April 2012	27 September 2012	01 January 2012
QFC Authority	Companies Regulations 2005	08 December 2011	11 April 2012	25 April 2012
QFC Authority	Limited Liability Partnerships Regulations 2005	08 December 2011	11 April 2012	25 April 2012
QFC Authority	Companies Rules	08 December 2011	11 April 2012	25 April 2012
QFC Authority	Late Filing Penalties Guidance Note	08 December 2011	11 April 2012	25 April 2012
QFC Authority	Family Office Regulations	24 April 2012	27 September 2012	01 January 2012
<b>RULES</b>				
QFC Regulatory Authority	Anti-Money Laundering and Combating Terrorist Financing (General Insurance) Rules 2012		19 December 2012	01 February 2013
QFC Regulatory Authority	Anti-Money Laundering and Combating Terrorist Financing (General Insurance) Consequential and Miscellaneous Amendments Rules 2012		19 December 2012	01 February 2013
QFC Regulatory Authority	Islamic Finance Amendments Rules 2012		19 December 2012	01 February 2013
QFC Regulatory Authority	Governance and Controlled Functions Rules 2012		19 December 2012	01 July 2013
QFC Regulatory Authority	Governance and Controlled Functions (Consequential and Miscellaneous) Amendments Rules 2012		19 December 2012	01 July 2013
<b>CONSULTATION PAPERS</b>				
QFC Regulatory Authority	2012/02 Proposed Anti-Money Laundering and Combating The Financing of Terrorism Regime Amendments	24 September 2012		
QFC Regulatory Authority	2012/01 Proposed Governance and Controlled Functions Rules 2012	24 September 2012		
QFC Regulatory Authority	2012/03: Proposed Amendments – Islamic Finance Windows in the QFC	10 October 2012		

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<b>REGISTERED, LICENSED AND AUTHORISED FIRMS</b>				
QFC Authority	Innovation Capital Qatar LLC			1 April 2012
QFC Authority	Neo Holding LLC			10 April 2012
QFC Authority	Retiro Holding LLC			10 April 2012
QFC Authority	Pinsent Masons LLP – QFC Branch			30 April 2012
QFC Authority	Booz Allen Hamilton Inc. - QFC Branch			30 April 2012
QFC Authority	Al-Rayyan Holding LLC			09 May 2012
QFC Authority	Wakra Holding LLC			09 May 2012
QFC Authority	WoK Holding LLC			09 May 2012
QFC Authority	Protiviti Member Firm Qatar LLC			10 May 2012
QFC Regulatory Authority	Daman Health Insurance Qatar LLC			27 May 2012
QFC Authority	MasterCard Qatar LLC			21 June 2012
QFC Authority	52 Champs Elysees Holding LLC			25 June 2012
QFC Authority	Lifecare International (Qatar) LLC			30 July 2012
QFC Regulatory Authority	Allianz Worldwide Care Limited			13 August 2012
QFC Regulatory Authority	Zurich Insurance company Ltd.QFC Branch			08 October 2012
QFC Authority	Robert Half International (Dubai) Ltd. QFC Branch			06 December 2012
QFC Authority	APCO Worldwide LLC			06 December 2012
QFC Authority	Qatar Holding USA LLC			16 December 2012
QFC Regulatory Authority	Lifecare International (Qatar) LLC			09 January 2013
QFC Authority	Harrods Hotels Management LLC			10 January 2013

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QFC Regulatory Authority	Innovation Capital Qatar LLC			16 January 2013
QFC Authority	Hay Group LLC			06 March 2013
QFC Authority	Hafez LLC			10 March 2013
QFC Authority	Aventicum Capital Management (Qatar) LLC			24 March 2013
<b>WAIVER, MODIFICATION AND REVOCATION NOTICES</b>				
QFC Regulatory Authority	Waiver N.0012/2 State Street Middle East North Africa		28 March 2012	10 April 2012
QFC Regulatory Authority	Waiver N.0014/12 The Bank of Tokyo-Mitsubishi UFJ, Ltd.		08 April 2012	06 April 2012
QFC Regulatory Authority	Waiver N.0015/12 UBS AG		19 April 2012	22 April 2012
QFC Regulatory Authority	Waiver N.0016/12 Kane LLC		26 April 2012	26 April 2012
QFC Regulatory Authority	Waiver N. 0017/12 MedGulf Allianz Takaful - QFC Branch		26 April 2012	26 April 2012
QFC Regulatory Authority	Waiver N.0018/12 Morgan Stanley & Co International plc		17 May 2012	17 May 2012
QFC Regulatory Authority	Waiver N.0019/12 The Royal Bank of Scotland plc		17 May 2012	17 May 2012
QFC Regulatory Authority	Waiver N.0020/12 EFG-Hermes Qatar LLC		22 May 2012	25 May 2012
QFC Regulatory Authority	Waiver N.0021/12 Zurich International Life Limited		18 June 2012	18 June 2012
QFC Regulatory Authority	Waiver N.0022/12 Coutts & Co		18 June 2012	19 June 2012
QFC Regulatory Authority	Waiver N.0023/12 Marsh Qatar LLC		18 June 2012	22 June 2012
QFC Regulatory Authority	Waiver N.0024/12 MARSH BROKERS LIMITED		18 June 2012	22 June 2012
QFC Regulatory Authority	Waiver N.0025/12 Goldman Sachs International		21 June 2012	21 June 2012
QFC Regulatory Authority	Waiver N.0026/12 Sumitomo Mitsui Banking Corporation		21 June 2012	23 June 2012
QFC Regulatory Authority	Waiver N.0027/12 Barclays Bank PLC		19 July 2012	19 July 2012
QFC Regulatory Authority	Waiver N.0028/12 Nomura International plc, Qatar Financial Centre Branch		05 August 2012	05 August 2012
QFC Regulatory Authority	Waiver N.0029/12 JPMorgan Chase Bank, N.A. - Qatar Financial Centre Branch		05 August 2012	05 August 2012

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QFC Regulatory Authority	Waiver N.0030/12 Moore Stephens Services (Qatar) LLC		05 August 2012	05 August 2012
QFC Regulatory Authority	Waiver N.0031/12 Allianz Worldwide Care Limited		13 August 2012	13 August 2012
QFC Regulatory Authority	Waiver N.0032/12 EMIRATES NBD PJSC		09 September 2012	09 September 2012
QFC Regulatory Authority	Waiver N.0033/12 CHARTIS MEMSA Insurance Company Limited		13 September 2012	13 September 2012
QFC Regulatory Authority	Waiver N.0034/12 Mitsui Sumitomo Insurance Company (Europe) Ltd		13 September 2012	19 September 2012
QFC Regulatory Authority	Waiver N.0035/12 DLA Piper Middle East LLP		13 September 2012	13 September 2012
QFC Regulatory Authority	Waiver N.0036/12 Nasco Karaoglan Qatar LLC		17 September 2012	17 September 2012
QFC Regulatory Authority	Waiver N.0037/12 Zurich Insurance Company Ltd. QFC Branch		08 October 2012	08 October 2012
QFC Regulatory Authority	Waiver N.0038/12 State Street Middle East North Africa LLC		22 October 2012	22 October 2012
QFC Regulatory Authority	Waiver N.0039/12 Tazur Company b.s.c. (c) — QFC Branch		22 October 2012	22 October 2012
QFC Regulatory Authority	Waiver N.0040/12 Bahrain National Insurance Company BSC (C) QFC Branch		22 October 2012	22 October 2012
QFC Regulatory Authority	Waiver N.0041/12 Aon Qatar LLC		24 October 2012	24 October 2012
QFC Regulatory Authority	Waiver N.0042/12 MedGulf Allianz Takaful — QFC Branch		26 October 2012	24 October 2012
QFC Regulatory Authority	Waiver N.0043/12 Deutsche Bank AG Doha (QFC) Branch		18 November 2012	18 November 2012
QFC Regulatory Authority	Waiver N.0044/12 The Royal Bank of Scotland plc		18 November 2012	18 November 2012
QFC Regulatory Authority	Waiver N. 0046/12 WongPartnership LLC		20 December 2012	20 December 2012
QFC Regulatory Authority	Waiver N.0001/13 EFG-Hermes Qatar LLC		06 January 2013	06 January 2013
QFC Authority	Waiver no CW-00004/2012 Lalive in Qatar LLC		3 May 2012	21 May 2012
QFC Authority	Waiver no CW-00005/2012 Lalive in Qatar LLC		16 May 2012	31 May 2012
QFC Authority	Waiver no CW-00006/2012 Mitsui Sumitomo Insurance Company (Europe)		22 May 2012	11 June 2012
QFC Authority	Waiver no CW-00007/2012 Thomson Reuters (Markets) MEL		22 May 2012	3 June 2012
QFC Authority	Waiver no CW-00008/2012 Citigate Dewe Rogerson Limited		22 May 2012	6 June 2012

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QFC Authority	Waiver no CW-00009/2012 Samba Financial Group		22 May 2012	6 June 2012
QFC Authority	Waiver no CW-00010/2012 Axa Investment Managers LLC		22 May 2012	28 May 2012
QFC Authority	Waiver no CW-00011/2012 First Gulf Bank - QFC Branch		23 May 2012	9 June 2012
QFC Authority	Waiver no CW-00012/2012 DLA Piper Middle East LLP		23 May 2012	31 May 2012
QFC Authority	Waiver no CW-00013/2012 Qatar Holding LLC		23 May 2012	21 June 2012
QFC Authority	Waiver no CW-00014/2012 Thomson Reuters (Markets) MEL		3 June 2012	2 July 2012
QFC Authority	Waiver no CW-00015/2012 Citigate Dewe Rogerson Limited		7 June 2012	20 June 2012
QFC Authority	Waiver no CW-00016/2012 Mitsui Sumitomo Insurance Company (Europe)		12 June 2012	14 June 2012
QFC Authority	Waiver no CW-00017/2012 Mitsui Sumitomo Insurance Company (Europe)		14 June 2012	21 June 2012
QFC Authority	Waiver no CW-00018/2012 State Bank of India		14 June 2012	Until Revoked
QFC Authority	Waiver no CW-00019/2012 Thomson Reuters (Markets) MEL		2 July 2012	16 July 2012
QFC Authority	Waiver no CW-00020/2012 Deutsche Bank AG Doha (QFC) Branch		3 July 2012	23 July 2012
QFC Authority	Waiver no CW-00021/2012 Booz & Co (Qatar) LLC		7 August 2012	30 Spetember 2012
QFC Authority	Waiver no CW-00022/2012 Deutsche Bank AG Doha (QFC) Branch		10 September 2012	14 September 2012
QFC Authority	Waiver no CW-00023/2012 PricewaterhouseCoopers Qatar LLC		1 November 2012	15 November 2012
QFC Authority	Waiver no CW-00001/2013 American Life Insurance Company (MetLife Alico)		03 February 2013	17 February 2013
QFC Authority	Waiver no CW-00002/2013 American Life Insurance Company (MetLife Alico)		04 February 2013	Until Revoked
QFC Authority	Waiver no CW-00003/2013 Harrods Hotels Management LLC		14 February 2013	07 March 2013
QFC Authority	Waiver no CW-00004/2013 Qatar Holding USA LLC		14 February 2013	07 March 2013
QFC Authority	Waiver no CW-00005/2013 QInvest Partners LLC		14 February 2013	31 December 2013
QFC Authority	Waiver no CW-00006/2013 MAYHOOLA FOR INVESTMENTS (QFC) - LLC		14 February 2013	31 December 2013
QFC Authority	Waiver no CW-00007/2013 Barclays Bank PLC		23 March 2013	Until Revoked

