

## Summary of QFC Regulations, Rules, Consultation Papers, Firms and Notices

**Period: 1 April 2015 - 31 March 2016**

The QFC Financial Services Regulations ("FSR"), Schedule 1, Article 22.1(3), states that "the Regulatory Authority is required to provide such other reports to the Council of Ministers on the activities of the Regulatory Authority at such frequency and in such manner as the Council of Ministers may determine (all such reports to be copied to the Governor for review and comment)". In 2012, the Council of Ministers requested that this summary be submitted annually, instead of quarterly.

The QFC Regulatory Authority is also required to submit its audited accounts and a report of its activities as soon as reasonably practical after the end of each financial year. This is a separate requirement under article 15 (4) of QFC Law No. (7) of 2005 and is required to be provided to the Council of Ministers. The Regulatory Authority is currently preparing its 2015 Annual Report and it will be filed with the Council of Ministers shortly.

The QFC activity summary outlined below provides a summary of the following matters for the period 1 April 2015 to 31 March 2016:

1. there was one amended QFC Regulation issued during the period;
2. the Rulebooks issued by the QFC Regulatory Authority followed extensive public consultation;
3. the Consultation Papers issued by the QFC Regulatory Authority and QFC Authority explaining proposed new rules and seeking feedback from the public;
4. the firms authorised by the QFC Regulatory Authority;
5. the firms licenced by the QFC Authority;
6. the waivers, modifications and revocation notices issued to firms by the QFC Regulatory Authority and QFC Authority under the requirements of the FSR, Article 16 and the QFC Authority Regulations, Article 13.

Issued by	Description	Consultation Date/ Council of Ministers Consent Date	Enactment Date	Effective/ Release Date
<b>REGULATIONS</b>				
QFC Authority	Companies Regulations 2005 (Amended)	-	5 April 2015	5 April 2015
<b>RULES</b>				
QFC Regulatory Authority	Miscellaneous Amendments Rules 2015	-	13 June 2015	1 July 2015
QFC Regulatory Authority	Islamic Banking Business Prudential Rules 2015	-	13 December 2015	1 January 2016
QFC Regulatory Authority	Islamic Banking Business Prudential (Consequential) and Miscellaneous Amendments Rules 2015	-	13 December 2015	1 January 2016
QFC Regulatory Authority	Conduct of Business Amendments Rules 2015	-	13 December 2015	1 January 2016

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<b>CONSULTATION PAPERS</b>				
QFC Regulatory Authority	Proposed Miscellaneous Amendment Rules 2015	24 March 2015 – 20 April 2015	-	-
QFC Regulatory Authority	Proposed Islamic Banking Business Prudential Rules 2015	21 September 2015 – 1 November 2015	-	-
QFC Regulatory Authority	Conduct of Business Amendments Rules 2015	21 September 2015 – 1 November 2015	-	-
QFC Authority	Arbitration Regulations	18 June 2015 – 18 July 2015	-	-
QFC Authority	Amended Companies Regulations and Rules	10 December 2015 – 10 February 2016	-	-
QFC Authority	Foundation Regulations and Rules	10 December 2015 – 10 February 2016	-	-
QFC Authority	Immigration Regulations and Rules	17 March 2016 – 17 April 2016	-	-
QFC Authority	Employment Regulations and Rules	17 March 2016 – 17 April 2016	-	-
<b>REGISTERED, LICENSED AND AUTHORISED FIRMS</b>				
QFC Regulatory Authority	Investcorp Investments LLC	-	-	17 June 2015 (Authorised)
QFC Regulatory Authority	Merrill Lynch International - QFC Branch	-	-	13 September 2015 (Authorised)
QFC Authority	CEM Business Solutions LLC	-	-	7 April 2015 (Licensed)

Issued by	Description	Consultation Date/ Council of Ministers Consent Date	Enactment Date	Effective/ Release Date
QFC Authority	AIANA Holdings LLC	-	-	09 April 2015 (Licensed)
QFC Authority	QInvest Canyon LLC	-	-	15 April 2015 (Licensed)
QFC Authority	Al Mesned International Holding LLC	-	--	27 April 2015 (Licensed)
QFC Authority	Research Triangle Institute	-	-	27 April 2015 (Licensed)
QFC Authority	International Law Chambers LLC	-	-	11 May 2015 (Licensed)
QFC Authority	Bain & Company Middle East, Inc.	-	-	11 May 2015 (Licensed)
QFC Authority	AIANA Hotels & Resorts LLC	-	-	12 May 2015 (Licensed)
QFC Authority	Enterprise Ireland QFC Branch	-	-	21 May 2015 (Licensed)
QFC Authority	Thomas Bell-Wright International Consultants (QFC Branch)	-	-	25 May 2015 (Licensed)
QFC Authority	Protech Solutions LLC	-	-	7 June 2015 (Licensed)
QFC Authority	Hanwha Techwin QFC Branch	-	-	7 June 2015 (Licensed)
QFC Authority	Crescent Investments LLC	-	-	9 June 2015 (Licensed)

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QFC Authority	Wasserman Middle East LLC	-	-	17 June 2015 (Licensed)
QFC Authority	Oracle (QFC) Systems LLC	-	-	23 June 2015 (Licensed)
QFC Authority	QInvest Terabyte LLC	-	-	1 July 2015 (Licensed)
QFC Authority	ASTAD International LLC	-	-	1 July 2015 (Licensed)
QFC Authority	QInvest Charger LLC	-	-	13 July 2015 (Licensed)
QFC Authority	FansWorld12 LLC	-	-	16 July 2015 (Licensed)
QFC Authority	QInvest Euro PE QFC LLC	-	-	30 July 2015 (Licensed)
QFC Authority	Alfardan Corporation LLC	-	-	30 July 2015 (Licensed)
QFC Authority	Dentsu Aegis Network Doha-LLC	-	-	30 July 2015 (Licensed)
QFC Authority	QInvest Rio LLC	-	-	5 August 2015 (Licensed)
QFC Authority	ICTSI QFC LLC	-	-	23 August 2015 (Licensed)
QFC Authority	Sharq Capital Investment LLC	-	-	26 August 2015 (Licensed)

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QFC Authority	QH RE Asset Company LLC	-	-	31 August 2015 (Licensed)
QFC Authority	Astaldi S.P.A (QFC Branch)	-	-	1 September 2015 (Licensed)
QFC Authority	QInvest Poultry LLC	-	-	10 September 2015 (Licensed)
QFC Authority	Tadween QFC Branch	-	-	15 September 2015 (Licensed)
QFC Authority	Al Sawari International For Investment LLC	-	-	15 September 2015 (Licensed)
QFC Authority	Al Sawari India LLC	-	-	15 September 2015 (Licensed)
QFC Authority	Grant Thornton Advisory Services QFC Branch	-	-	22 September 2015 (Licensed)
QFC Authority	ME Engineers LLC	-	-	22 September 2015 (Licensed)
QFC Authority	Sia Partners LLC	-	-	6 October 2015 (Licensed)
QFC Authority	AveQ LLC	-	-	11 October 2015 (Licensed)
QFC Authority	Qatar Reinsurance Services LLC	-	-	13 October 2015 (Licensed)
QFC Authority	Levtech Consulting LLC	-	-	20 October 2015 (Licensed)

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QFC Authority	Rubix Holding LLC	-	-	28 October 2015 (Licensed)
QFC Authority	Al Sawari Algerie LLC	-	-	28 October 2015 (Licensed)
QFC Authority	QSMA1 LLC	-	-	28 October 2015 (Licensed)
QFC Authority	Injazat Data Systems (QFC Branch)	-	-	29 October 2015 (Licensed)
QFC Authority	Belgian Business Club LLC(G)	-	-	5 November 2015 (Licensed)
QFC Authority	Philips Electronics Middle East & Africa BV – QFC Branch	-	-	11 November 2015 (Licensed)
QFC Authority	Philips Lighting Export BV – QFC Branch	-	-	11 November 2015 (Licensed)
QFC Authority	NLG Limited (QFC Branch)	-	-	1 December 2015 (Licensed)
QFC Authority	DBCQ LLC(G)	-	-	1 December 2015 (Licensed)
QFC Authority	DuPont QFC LLC	-	-	9 December 2015 (Licensed)
QFC Authority	QInvest REFin LLC	-	-	15 December 2015 (Licensed)
QFC Authority	Al Baraka Holding LLC	-	-	17 December 2015 (Licensed)

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QFC Authority	RDK LLC	-	-	23 December 2015 (Licensed)
QFC Authority	Populous Ltd (QFC Branch)	-	-	6 January 2016 (Licensed)
QFC Authority	BCT QFC Branch	-	-	14 January 2016 (Licensed)
QFC Authority	360 Nautica LLC	-	-	21 January 2016 (Licensed)
QFC Authority	FUJIFILM QFC Branch	-	-	27 January 2016 (Licensed)
QFC Authority	Swiss Business Council LLC(G)	-	-	1 February 2016 (Licensed)
QFC Authority	Synensys, LLC	-	-	2 February 2016 (Licensed)
QFC Authority	Complex Program Group (Middle East) LLC	-	-	10 February 2016 (Licensed)
QFC Authority	GNRI Manco Doha QFC Branch	-	-	14 February 2016 (Licensed)
QFC Authority	VITO Middle East LLC	-	-	15 February 2016 (Licensed)
QFC Authority	Qatar 2022 Local Organising Committee LLC	-	-	16 February 2016 (Licensed)
QFC Authority	C3- Edenred QFC Branch	-	-	24 February 2016 (Licensed)

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QFC Authority	Chilean Chamber of Commerce & Industry LLC(G)	-	-	24 February 2016 (Licensed)
QFC Authority	Alchemy Capital LLC	-	-	13 March 2016 (Licensed)
QFC Authority	Effisian International LLC	-	-	16 March 2016 (Licensed)
QFC Authority	Cambridge Training & Consultancy LLC	-	-	17 March 2016 (Licensed)
QFC Authority	BPA Worldwide LLC(G)	-	-	17 March 2016 (Licensed)
QFC Authority	Doha Chapter of Institute of Chartered Accountants of India LLC(G)	-	-	24 March 2016 (Licensed)
QFC Authority	Global Knowledge – QFC Branch	-	-	31 March 2016 (Licensed)

#### WAIVER, MODIFICATION AND REVOCATION NOTICES

Issued by	Description		Date of Granting	Date of Expiry
QFC Regulatory Authority	Daman Health Insurance Qatar LLC (Waiver no W-0001/16)	-	9 March 2016	8 March 2017
QFC Authority	Sarasin-Alpen (Qatar) LLC (Waiver no CW-00004/2015 Bank)	-	8 April 2015	31 December 2015
QFC Authority	Wakra Holding LLC (Wavier no CW-00009/2015)	-	4 May 2015	31 December 2015
QFC Authority	Qatar Holding LLC (Waiver no CW -00010/2015)	-	4 May 2015	29 June 2015



Issued by	Description	-	Date of Granting	Date of Expiry
QFC Authority	Qgold LLC (Waiver no CW-00011/2015)	-	4 May 2015	31 December 2015
QFC Authority	QFB LT Care LLC (Waiver no CW-00012/2015)	-	4 May 2015	11 May 2015
QFC Authority	QFB Food & Beverage LLC (Waiver no CW-00013/2015)	-	27 May 2015	7 June 2015
QFC Authority	Deutsche Bank AG Doha (QFC) Branch (Waiver no CW-000 /2015)	-	19 August 2015	3 September 2015
QFC Authority	International Financial Services (Qatar) LLC (Waiver no CW-00014/2015)	-	27 September 2015	15 October 2015
QFC Authority	Mayhoola for Investments (QFC) - LLC (Waiver no CW-00015/2015)	-	16 November 2015	31 December 2015
QFC Authority	QInvest Partners LLC (Waiver no CW-00016/2016)	-	22 March 2016	31 December 2016
QFC Authority	MAYHOOLA FOR INVESTMENTS (QFC) - LLC (Waiver no CW-00017/2016)	-	27 March 2016	31 December 2016