

QFC Activities Summr 1 January 2012 to 31 March 2012

Issued by	Description	Consultation Date / Council of Ministers Consent* Date	Enactment Date	Effective/Release Date
REGULATIONS				
QFC Authority	Security Regulations	6 October 2011	31 December 2011	8 January 2012
RULES				
QFC Regulatory Authority	Training, Competency and Miscellaneous Amendments Rules 2011			1 January 2012
CONSULTATION PAPERS				
REGISTERED, LICENSED AND AUTHORISED FIRMS				
QFC Authority	DIC Holding LLC	QFC Licensed Firm		29 January 2012
WAIVER, MODIFICATION AND REVOCATION NOTICES				
QFC Regulatory Authority	Waiver N. 0001/12 JPMorgan Chase Bank		3 January 2012	7 January 2012
QFC Regulatory Authority	Waiver N. 0002/12 Union National Bank		this waiver was rejected by the Regulatory Authority	
QFC Regulatory Authority	Waiver N. 0003/12 Moore Stephens Services (Qatar) LLC		16 January 2012	18 January 2012
QFC Regulatory Authority	Waiver N. 0004/12 Nomura International plc		22 January 2012	22 January 2012
QFC Regulatory Authority	Waiver N. 0005/12 EMIRATES NBD		15 February 2012	16 February 2012
QFC Regulatory Authority	Waiver N. 0006/12 CHARTIS MEMSA		19 February 2012	23 February 2012

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QFC Regulatory Authority	Waiver N.0007/12 Nasco Karaoglan Qatar LLC		26 February 2012	26 February 2012
QFC Regulatory Authority	Waiver N. 0008/12 Mitsui Sumitomo Insurance Company (Europe) Ltd		18 March 2012	18 March 2012
QFC Regulatory Authority	Waiver N. 0009/12 Deutsche Bank AG Doha (QFC) Branch		21 March 2012	21 March 2012
QFC Regulatory Authority	Waiver N.00010/12 Beltone Financial Qatar LLC		27 March 2012	27 March 2012
QFC Regulatory Authority	Waiver N.0011/12 Aon Qatar LLC		27 March 2012	28 March 2012
QFC Regulatory Authority	Waiver N.0012/12 State Street Middle East North Africa LLC		28 March 2012	10 April 2012
QFC Regulatory Authority	Waiver N.0013/12 Tazur Company b.s.c. (c) – QFC Branch		29 March 2012	28 March 2012