



AUTHORISATION

This scope of authorisation replaces the scope dated 30 December 2020

Under article 11 (2) of the Qatar Financial Centre Law (Law No. (7) of 2005 of the State of Qatar), the Qatar Financial Centre Regulatory Authority has granted

CB Asset Management LLC

QFC No. 01179

authorisation under the Financial Services Regulations to carry on the following regulated activities:

- Dealing in Investments (as agent);
- Managing Investments;
- Arranging Deals in Investments;
- Advising on Investments;
- Arranging the Provision of Custody Services; and
- Operating a Collective Investment Fund

in or from the Qatar Financial Centre, subject to the restrictions and conditions set out below and in Schedule 1 (Scope of Authorisation).

Conditions relating to retail customers

CB Asset Management LLC must not conduct any regulated activities with or for retail customers unless it has been granted an authorisation to do so by the Qatar Financial Centre Regulatory Authority.

Conditions relating to Schemes registered in the QFC

CB Asset Management LLC can carry out the regulated activity of Operating a Collective Investment Fund for Professional Investor Funds registered in the QFC only.

Issued at the Qatar Financial Centre, Doha, this 15th day of December 2022.

Ewald F H Müller
Managing Director, Supervision and Authorisation



Schedule 1 Scope of Authorisation

The authorisation granted to **CB Asset Management LLC** to carry on the regulated activities of Dealing in Investments (as Agent), Managing Investments, Advising on Investments, Arranging Deals in Investments, and Arranging the Provision of Custody Services is restricted to the following specified products:

- (a) Shares;
- (b) Debt Instruments;
- (c) Warrants;
- (d) Securities Receipts;
- (e) Units in a Collective Investment Fund;
- (f) Options;
- (g) Futures;
- (h) Contracts for Differences; and
- (i) Rights in Investments.

The authorisation granted to **CB Asset Management LLC** to carry on the regulated activity of Operating a Collective Investment Fund is restricted to the following specified product:

- (a) Units in a Collective Investment Fund.