



هيئة تنظيم
مركز قطر للمال
QATAR FINANCIAL CENTRE
REGULATORY AUTHORITY

AUTHORISATION

This Scope of Authorisation replaces the scope dated 31 October 2022

Under article 11 (2) of the Qatar Financial Centre Law (Law No. (7) of 2005 of the State of Qatar), the Qatar Financial Centre Regulatory Authority has granted

HMK Capital LLC **QFC No 01718**

authorisation under the Financial Services Regulations to carry on the following regulated activities:

- Dealing in Investments (As agent);
- Managing Investments;
- Operating a Collective Investment Fund;
- Arranging Deals in Investments;
- Advising on Investments; and
- Arranging Credit Facilities.

in or from the Qatar Financial Centre, subject to the restrictions and conditions set out below and in Schedule 1 (Scope of Authorisation).

Conditions relating to customers

HMK Capital LLC may only conduct regulated activities with entities that are a business customer or eligible counterparty as defined in the CIPR rulebook, or an individual certified professional investor as defined in PROF 2.1.4.

Issued at the Qatar Financial Centre, Doha, this 11th day of January 2024.

EWALD F H MÜLLER
Managing Director, Supervision and Authorisation



Schedule 1 Scope of Authorisation

The authorisation granted to **HMK Capital LLC** to carry on the regulated activities of Dealing in Investments(As agent), Managing Investments, Arranging Deals in Investments, and Advising on Investments is restricted to the following specified products:

- (a) Shares;
- (b) Debt Instruments;
- (c) Warrants;
- (d) Securities Receipts;
- (e) Units in Collective Investment Fund;
- (f) Options;
- (g) Futures;
- (h) Contracts for Differences; and
- (i) Rights in Investments.

The authorisation granted to **HMK Capital LLC** to carry on the regulated activity of Arranging Credit Facilities is restricted to the following specified product:

- (a) Credit Facility

The authorisation granted to **HMK Capital LLC** to carry on the regulated activity of, Operating a Collective Investment Fund is restricted to the following specified product:

- (a) Units in a Collective Investment Fund