



Qatar

FINANCIAL CENTRE

REGULATORY AUTHORITY

## NOTICE OF RULE WAIVER

Firm	UBS AG
Address	Regus Building, D Ring Road, Al Matar Al Qadeem District, PO Box 32522, Doha, Qatar.
QFC No.	00087
Notice No.	W 0026/09

*Financial Services Regulations, article 16 (1) (B)*

### 1 Waiver

The following provision of the *Individuals Rulebook (INDI)* does not apply in relation to the firm:

- rule 2.2.2.

### 2 Commencement and expiry

This notice commences on 1 August 2009 and, unless revoked earlier, expires on 31 January 2010.

**3 Condition**

This notice is subject to the following condition:

- the *approved individual exercising the money laundering reporting function* for the firm spends an appropriate amount of time (having regard to the responsibilities of the *function*) in Qatar *exercising the function*.

**4 Interpretation**

The *Interpretation and Application Rulebook (INAP)* applies to this notice as if its provisions were provisions of a rulebook made under the *Financial Services Regulations*.



Phillip Thorpe  
Chairman and Chief Executive Officer

Date: 23 July 2009