

Qatar

FINANCIAL CENTRE  
REGULATORY AUTHORITY

## NOTICE OF RULE WAIVER

Firm	Nomura International plc, Qatar Financial Centre Branch
Address	QFC Tower, Office 804, 8th Floor, Diplomatic Area, West Bay, Doha, Qatar.
QFC No.	00106
Notice No.	W 0018/09

**THE QFC REGULATORY AUTHORITY HEREBY GIVES NOTICE THAT:**

**The Rules specified herein do not apply to the above mentioned Person.**

This notice is issued by the QFC Regulatory Authority under Article 16 of the QFC Financial Services Regulations 2005.

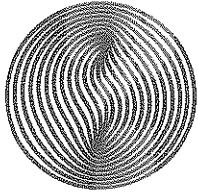
**Effective Date:** This notice comes into effect on 28 May 2009 and remains in effect until revoked.

### RULES WAIVED

Rules:

1.2.1 (Financial Resources requirement);  
1.3.7 through to 1.3.9 (inclusive) (Trading Book requirements); and  
2.1.1 through to 2.10.4 (inclusive) (Capital requirements)

of the Interim Prudential – Investment, Insurance Mediation & Banking Business Rulebook (PIIB).



Qatar

FINANCIAL CENTRE  
REGULATORY AUTHORITY

## CONDITIONS

This notice is given on the condition that Nomura International Plc ensures that it maintains financial resources which are adequate in relation to the nature, size and complexity of its business to ensure that there is no significant risk that liabilities cannot be met as they fall due.

## INTERPRETATION

The provisions in this notice are to be construed as if these provisions are provisions of the Rulebooks.

Defined terms are identified in this notice by the capitalisation of the initial letter of a word or of each word in a phrase and are defined in the Interpretation and Application Rulebook (INAP). Unless the context otherwise requires, where capitalisation of the initial letter is not used, an expression has its natural meaning.

This notice was issued by:

Phillip Thorpe  
Chairman and Chief Executive Officer

28 May 2009