



QATAR FINANCIAL CENTRE  
**REGULATORY  
AUTHORITY**

## WAIVER NOTICE

<b>Firm</b>	AXA Investment Managers LLC
<b>Address</b>	Qatar Financial Centre, Office 603, 6th Floor, QFC Tower, Diplomatic Area, West Bay, Doha, Qatar
<b>QFC No.</b>	00008
<b>Notice No.</b>	W 0014/10

### ***Financial Services Regulations, article 16 (1) (B)***

#### **1 Waiver**

The following provision of *Anti-Money Laundering and Combating Terrorist Financing Rules 2010* does not apply in relation to the firm:

- rule 2.3.2 (2)

#### **2 Commencement**

This notice commences on the day it is signed and, unless revoked earlier, expires on 17 February 2011.

#### **3 Condition**

This notice is given subject to the condition that the firm's money laundering reporting officer spends an appropriate amount of time (having regard to the responsibilities of the role) in Qatar exercising the role.

#### 4 Interpretation

The *Interpretation and Application Rulebook* (INAP) applies to this notice as if its provisions were provisions of Rules made under the *Financial Services Regulations*.



Michael G. Ryan  
Deputy Chief Executive Officer  
Date: 17 August 2010