



## AUTHORISATION

Under article 11 (2) of the Qatar Financial Centre Law (Law No. (7) of 2005 of the State of Qatar), the Qatar Financial Centre Regulatory Authority has granted

### **Al Rayan Investment LLC**

**QFC No. 00045**

authorisation under the Financial Services Regulations to carry on the following regulated activities:

- Dealing in Investments;
- Managing Investments;
- Arranging Deals in Investments;
- Arranging Credit Facilities;
- Advising on Investments;
- Arranging the Provision of Custody Services
- Operating a Collective Investment Fund; and
- Providing Custody Services.

in or from the Qatar Financial Centre, subject to the restrictions and conditions set out below and in Schedule 1 (Scope of Authorisation).

#### **Conditions relating to retail customers**

**Al Rayan Investment LLC** must not conduct any regulated activities with or for retail customers unless it has been granted an authorisation to do so by the Qatar Financial Centre Regulatory Authority.

#### **Conditions relating to Schemes registered in the QFC**

**Al Rayan Investment LLC** can carry out the regulated activities of Operating a Collective Investment Fund and Providing Custody Services for Private Placement Funds registered in the QFC only.

Issued at the Qatar Financial Centre, Doha this 10<sup>th</sup> day of March 2021.

MICHAEL G. RYAN  
Chief Executive Officer



## Schedule 1 Scope of Authorisation

The authorisation granted to **Al Rayan Investment LLC** to carry on the regulated activities of Dealing in Investments, Managing Investments, Arranging Deals in Investments, Advising on Investments and Arranging the Provision of Custody Services is restricted to the following specified products:

- (a) Shares;
- (b) Debt Instruments;
- (c) Warrants;
- (d) Securities Receipts;
- (e) Units in a Collective Investment Fund;
- (f) Options;
- (g) Futures; and
- (h) Contract for Differences.

The authorisation granted to **Al Rayan Investment LLC** to carry on the regulated activity of, Arranging Credit Facilities is restricted to the following specified products:

- (a) Credit Facility

The authorisation granted to **Al Rayan Investment LLC** to carry on the regulated activity of Operating a Collective Investment Fund is restricted to the following specified products:

- (b) Units in a Collective Investment Fund

The authorisation granted to **Al Rayan Investment LLC** to carry on the regulated activity of Providing Custody Services is restricted the following specified products:

- (a) Shares;
- (b) Debt Instruments;
- (c) Units in a Collective Investment Fund; and
- (d) Rights in Investments.