



WAIVER NOTICE

Firm EFG-Hermes Qatar LLC

Address Qatar Financial Centre, Al Fardan Offices Tower, 7th Floor, West Bay, P.O. Box 24972, Doha, Qatar.

QFC No. 00069

Notice No. W 0001/13

Financial Services Regulations, Article 16 (1) (b)

1 Waiver

The following provision of *Anti-Money Laundering and Combating Terrorist Financing Rules 2010* does not apply in relation to the firm:

- Rule 2.3.2.(2)

2 Commencement

This notice commences on 06 January 2013 and, unless revoked earlier, expires on 05 July 2013.

3 Conditions

- A. The firm's Money Laundering Reporting Officer spends an appropriate amount of time (having regard to the responsibilities of the role) in Qatar exercising the role.
- B. The firm's Money Laundering Reporting Officer submits a non-resident MLRO report on a monthly basis.

A handwritten signature in blue ink, appearing to read 'Michael G Ryan'.

Michael G Ryan
Chief Executive Officer
Date: 06 January 2013