

## **WAIVER NOTICE**

Address

Palm Tower B, Suite 2904, West Bay, PO Box 16798, Doha, Qatar

QFC No. 00142

Notice No. W 0002/14

Financial Services Regulations, Article 16 (1) (b)

## 1 Waiver

The following provision of *Governance and Controlled Functions Rules 2012 (CTRL)* does not apply in relation to the firm:

Rule(s) 3.2.3.(4) through 3.2.3.(4).(b)

## 2 Commencement

This notice commences on 19 February 2014 and, unless revoked earlier, expires on 18 February 2015.

## 3 Conditions

The firm's Risk Management Function spends an appropriate amount of time (having regard to the responsibilities of the role) in Qatar exercising the role.

Michael G Ryan

Chief Executive Officer Date: 19 February 2014