

WAIVER NOTICE

Firm Credit Suisse (Qatar) LLC

Address Level 32, Tornado Tower, West Bay P.O. Box 24759, Doha, Oatar

QFC No. 00005

Notice No. W 0005/20

Financial Services Regulations, Article 16 (1) (b)

1 Waiver

The following provision of *Customer and Investor Protection Rules 2019 (CIPR)* does not apply in relation to the firm:

- Rule 4.2.9 (1)(b)(i)
- Rule 4.2.9 (2)
- Rule 4.2.10 (2)
- Rule 4.2.11 (4)

2 Commencement

This notice commences when it is made.

3 Conditions

The Regulatory Authority to grant Credit Suisse Qatar LLC waiver of:

- CIPR 4.2.9 (1) (b) (i), with the condition that past performance information in advertisements is based on no less than complete 12-month periods.
- CIPR 4.2.9 (2), 4.2.10 (2) and 4.2.11 (4), with the condition that the warning language used needs to preserve the meaning/substance set out in CIPR 4.2.9 (2), 4.2.10 (2) & 4.2.11 (4), similar to the 5 samples provided to the QFCRA. The firm will ensure that all disclaimers set out that substance and meaning.

Michael G Ryan

Chief Executive Officer Date: 10 August 2020