## SCOPE OF AUTHORISATION

As provided in the Authorisation Certificate, pursuant to its powers under Article 11(2) of the Qatar Financial Centre Law and Article 29 of the Financial Services Regulations, the Qatar Financial Centre Regulatory Authority has granted BLOM Bank Qatar LLC authority, subject to the conditions and restrictions stated below, to conduct the following Regulated Activities in respect of the listed Specified Products:

Regulated Activity	Specified Products
• Deposit Taking	• Deposits
Specific Conditions and Restrictions	• None
Dealing in Investments	<ul> <li>Share</li> <li>Debt Instrument</li> <li>Warrants</li> <li>Securities Receipt</li> <li>Units in a Collective Investment Fund</li> <li>Options</li> <li>Future</li> <li>Contract for Differences</li> <li>Rights in Investments</li> </ul>
Specific Conditions and Restrictions	<ul> <li>The firm is prohibited from Dealing in Investments as principal unless it has received the prior authorisation of the Regulatory Authority.</li> </ul>
Arranging Deals in Investments	<ul> <li>Share</li> <li>Debt Instrument</li> <li>Warrants</li> <li>Securities Receipt</li> <li>Units in a Collective Investment Fund</li> <li>Options</li> <li>Future</li> <li>Contract for Differences</li> <li>Rights in Investments</li> </ul>

Specific Conditions and Restrictions	• None
Providing Credit Facilities	• Credit Facility
Specific Conditions and Restrictions	• None
Arranging Credit Facilities	• Credit Facility
Specific Conditions and Restrictions	• None
Arranging the Provision of Custody Services	<ul> <li>Share</li> <li>Debt Instrument</li> <li>Warrants</li> <li>Securities Receipt</li> <li>Units in a Collective Investment Fund</li> <li>Options</li> <li>Future</li> <li>Rights in Investments</li> </ul>
Specific Conditions and Restrictions	• None
Managing Investments	<ul> <li>Share</li> <li>Debt Instrument</li> <li>Warrants</li> <li>Securities Receipt</li> <li>Units in a Collective Investment Fund</li> <li>Options</li> <li>Future</li> <li>Contract for Differences</li> <li>Rights in Investments</li> </ul>
Specific Conditions and Restrictions	• None

Advising on Investments	<ul> <li>Share</li> <li>Debt Instrument</li> <li>Warrants</li> <li>Securities Receipt</li> <li>Units in a Collective Investment Fund</li> <li>Options</li> <li>Future</li> <li>Contract for Differences</li> <li>Rights in Investments</li> </ul>
Specific Conditions and Restrictions	• None

## GENERAL CONDITIONS, RESTRICTIONS AND REQUIREMENTS

The firm is prohibited from conducting Regulated Activities with or on behalf of Retail Customers unless it has received the prior authorisation of the Regulatory Authority.

## **EFFECTIVE DATE**

22<sup>nd</sup> September 2008

**QFC NUMBER** 

00079

Chairman and Chief Executive Officer