

SCOPE OF AUTHORISATION

As provided in the Authorisation Certificate, pursuant to its powers under Article 11(2) of the Qatar Financial Centre Law and Article 29 of the Financial Services Regulations, the Qatar Financial Centre Regulatory Authority has granted **Samba Financial Group** authority, subject to the conditions and restrictions stated below, to conduct the following Regulated Activities in respect of the listed Specified Products:

Regulated Activity	Specified Products
<ul style="list-style-type: none"> • <i>Deposit Taking</i> 	<ul style="list-style-type: none"> • <i>Deposits</i>
Specific Conditions and Restrictions	<ul style="list-style-type: none"> • None
<ul style="list-style-type: none"> • <i>Dealing in Investments</i> 	<ul style="list-style-type: none"> • <i>Share</i> • <i>Debt Instrument</i> • <i>Warrants</i> • <i>Securities Receipt</i> • <i>Unit in a Collective Investment Fund</i> • <i>Options</i> • <i>Future</i> • <i>Contract for Differences</i> • <i>Rights in Investments</i>
Specific Conditions and Restrictions	<ul style="list-style-type: none"> • None
<ul style="list-style-type: none"> • <i>Arranging Deals in Investments</i> 	<ul style="list-style-type: none"> • <i>Share</i> • <i>Debt Instrument</i> • <i>Warrants</i> • <i>Securities Receipt</i> • <i>Unit in a Collective Investment Fund</i> • <i>Options</i> • <i>Future</i> • <i>Contract for Differences</i> • <i>Rights in Investments</i>
Specific Conditions and Restrictions	<ul style="list-style-type: none"> • None

<ul style="list-style-type: none"> • <i>Providing Credit Facilities</i> 	<ul style="list-style-type: none"> • <i>Credit Facility</i>
Specific Conditions and Restrictions	<ul style="list-style-type: none"> • None
<ul style="list-style-type: none"> • <i>Arranging Credit Facilities</i> 	<ul style="list-style-type: none"> • <i>Credit Facility</i>
Specific Conditions and Restrictions	<ul style="list-style-type: none"> • None
<ul style="list-style-type: none"> • <i>Arranging the Provision of Custody Services</i> 	<ul style="list-style-type: none"> • <i>Share</i> • <i>Debt Instrument</i> • <i>Warrants</i> • <i>Securities Receipt</i> • <i>Unit in a Collective Investment Fund</i> • <i>Options</i> • <i>Future</i> • <i>Contract for Differences</i> • <i>Rights in Investments</i>
Specific Conditions and Restrictions	<ul style="list-style-type: none"> • None
<ul style="list-style-type: none"> • <i>Managing Investments</i> 	<ul style="list-style-type: none"> • <i>Share</i> • <i>Debt Instrument</i> • <i>Warrants</i> • <i>Securities Receipt</i> • <i>Unit in a Collective Investment Fund</i> • <i>Options</i> • <i>Future</i> • <i>Contract for Differences</i> • <i>Rights in Investments</i>
Specific Conditions and Restrictions	<ul style="list-style-type: none"> • None

<ul style="list-style-type: none"> • <i>Advising on Investments</i> 	<ul style="list-style-type: none"> • <i>Share</i> • <i>Debt Instrument</i> • <i>Warrants</i> • <i>Securities Receipt</i> • <i>Unit in a Collective Investment Fund</i> • <i>Options</i> • <i>Future</i> • <i>Contract for Differences</i> • <i>Rights in Investments</i>
<p>Specific Conditions and Restrictions</p>	<ul style="list-style-type: none"> • None

ENDORSEMENT

The firm is authorised under Article 29 of the Financial Services Regulations to conduct Islamic Financial Business by operating an Islamic Window.

GENERAL CONDITIONS, RESTRICTIONS AND REQUIREMENTS

The firm is prohibited from conducting Regulated Activities with or on behalf of Retail Customers unless it has received the prior authorisation of the Regulatory Authority.

EFFECTIVE DATE

25th May 2008

QFC NUMBER

00081



Chairman and Chief Executive Officer