

SCOPE OF AUTHORISATION

WITHDRAWN

As provided in the Authorisation Certificate, pursuant to its powers under Article 11(2) of the Qatar Financial Centre Law and Article 29 of the Financial Services Regulations, the Qatar Financial Centre Regulatory Authority has granted **AXA Investment Managers LLC** authority, subject to the conditions and restrictions stated below, to conduct the following Regulated Activities in respect of the listed Specified Products:

Regulated Activity	Specified Products
<ul style="list-style-type: none">• <i>Advising on Investments</i>	<ul style="list-style-type: none">• <i>Shares</i>• <i>Debt Instruments</i>• <i>Warrants</i>• <i>Securities Receipts</i>• <i>Units in a Collective Investment Fund</i>• <i>Options</i>• <i>Futures</i>• <i>Contracts for Differences</i>• <i>Rights in Investments</i>
Conditions and Restrictions	<ul style="list-style-type: none">• None
<ul style="list-style-type: none">• <i>Arranging Deals in Investments</i>	<ul style="list-style-type: none">• <i>Shares</i>• <i>Debt Instruments</i>• <i>Warrants</i>• <i>Securities Receipts</i>• <i>Units in a Collective Investment Fund</i>• <i>Options</i>• <i>Futures</i>• <i>Contracts for Differences</i>• <i>Rights in Investments</i>
Conditions and Restrictions	<ul style="list-style-type: none">• None

GENERAL CONDITIONS, RESTRICTIONS AND REQUIREMENTS

The firm is prohibited from conducting Regulated Activities with or on behalf of Retail Customers unless it has received the prior authorisation of the Regulatory Authority.

EFFECTIVE DATE

23rd April 2006

QFC NUMBER

00008

WITHDRAWN DATE

10th July 2019

A handwritten signature in black ink, consisting of a large initial 'A' followed by a cursive name.A horizontal dashed line with a solid line underneath it, serving as a signature line.

Chief Executive