



هيئة تنظيم  
مركز قطر للمال  
QATAR FINANCIAL CENTRE  
REGULATORY AUTHORITY

## AUTHORISATION

Under article 11 (2) of the Qatar Financial Centre Law (Law No. (7) of 2005 of the State of Qatar), the Qatar Financial Centre Regulatory Authority has granted

### **Utopia Capital Management LLC** **QFC No. 02824**

authorisation under the Financial Services Regulations to carry on the following regulated activities:

- Dealing in Investments (as agent)
- Managing Investments
- Operating a Collective Investment Fund
- Arranging Deals in Investments
- Advising on Investments
- Arranging the Provision of Custody Services

in or from the Qatar Financial Centre, subject to the restrictions and conditions set out below and in Schedule 1 (Scope of Authorisation).

### **Conditions relating to retail customers**

**Utopia Capital Management LLC** must not conduct any regulated activities with or for retail customers unless it has been granted an authorisation to do so by the Qatar Financial Centre Regulatory Authority.

Issued at the Qatar Financial Centre, Doha this 2nd day of December 2024.

EISA AHMED ABDULLA  
Deputy CEO



### Schedule 1 Scope of Authorisation

- a) The authorisation granted to **Utopia Capital Management LLC** to carry on the regulated activities of Dealing in Investments (as Agent), Managing Investments, and Operating a Collective Investment Fund is restricted to Exempt Professional Investor Funds as defined in PROF 1.2.3.
- b) The authorisation granted to **Utopia Capital Management LLC** to carry on the regulated activities of Arranging Deals in Investments, Advising on Investments, and Arranging the Provision of Custody Services is restricted to the following specified products:
  - (a) Share;
  - (b) Debt Instrument;
  - (c) Unit in a Collective Investment Fund; and
  - (d) Rights in investments.