



## AUTHORISATION

This scope of authorisation replaces the scope dated the 20<sup>th</sup> October 2009

Under article 11 (2) of the Qatar Financial Centre Law (Law No. (7) of 2005 of the State of Qatar), the Qatar Financial Centre **Regulatory Authority** has granted

### **Guardian Wealth Management Qatar LLC**

**QFC No. 00113**

authorisation under the Financial Services Regulations and the *Insurance Mediation Business Rules 2011* to carry on insurance mediation in or from the Qatar Financial Centre, subject to the restrictions and conditions set out in schedule 1 (Scope of Authorisation).

#### **Conditions relating to client money and retail customers**

- (1) **Guardian Wealth Management Qatar LLC** must not hold client money.
- (2) **Guardian Wealth Management Qatar LLC** may conduct insurance mediation with or for retail customers.

Issued at the Qatar Financial Centre, Doha this 31<sup>st</sup> day of March 2012

Own Initiative Action Notice  
Effective 16/Jul/2024

MICHAEL RYAN  
Chief Executive Officer



## **Schedule 1 Scope of Authorisation**

Under article 11 (2) of the Qatar Financial Centre Law and article 29 of the Financial Services Regulations, the authorisation granted to **Guardian Wealth Management Qatar LLC** to conduct insurance mediation is subject to the restrictions and conditions below.

- (1) The regulated activity of insurance mediation is restricted to—
- (a) giving advice to other persons about the merits of entering into long term insurance contracts and general insurance contracts, categories 1 and 2, whether as principal or agent;
  - (b) making arrangements with a view to other persons buying long term insurance contracts and general insurance contracts, categories 1 and 2, whether as principal or agent; and
  - (c) assisting in the administration or performance of long term insurance contracts and general insurance contracts, categories 1 and 2, for or on behalf of policyholders.