



هيئة تنظيم
مركز قطر للمال

QATAR FINANCIAL CENTRE
REGULATORY AUTHORITY

AUTHORISATION

This scope of Authorisation replaces the scope dated 14th September 2011

Under article 11 (2) of the Qatar Financial Centre Law (Law No. (7) of 2005 of the State of Qatar), the Qatar Financial Centre Regulatory Authority has granted

Amwal LLC

QFC No. 00145

authorisation under the Financial Services Regulations to carry on the following regulated activities:

- Arranging Credit Facilities;
- Arranging Deals in Investments;
- Advising on Investments; and
- Arranging the Provision of Custody Services

in or from the Qatar Financial Centre, subject to the restrictions and conditions set out below and in Schedule 1 (Scope of Authorisation).

Conditions relating to retail customers

Amwal LLC must not conduct any regulated activities with or for retail customers unless it has been granted an authorisation to do so by the Qatar Financial Centre Regulatory Authority.

Issued at the Qatar Financial Centre, Doha this 21st day of April 2020.

MICHAEL G. RYAN
Chief Executive Officer



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Schedule 1 Scope of Authorisation

The authorisation granted to **Amwal LLC** to carry on the regulated activity of Arranging Credit Facilities is restricted to the following specified product:

- (a) Credit Facilities.

The authorisation granted to **Amwal LLC** to carry on the regulated activities of Arranging Deals in Investments, Advising on Investments and Arranging the Provision of Custody Services is restricted to the following specified products:

- (a) Shares;
- (b) Debt Instruments;
- (c) Warrants;
- (d) Securities Receipts;
- (e) Units in a Collective Investment Fund;
- (f) Options;
- (g) Futures;
- (h) Contracts for Differences; and
- (i) Rights in Investments.