

## AUTHORISATION

Under article 11 (2) of the Qatar Financial Centre Law (Law No. (7) of 2005 of the State of Qatar), the Qatar Financial Centre Regulatory Authority has granted

### **Sanguine Investment Managers LLC**

**QFC No. 00744**

authorisation under the Financial Services Regulations to carry on the following regulated activities:

- Operating a Collective Investment Fund;
- Dealing in Investments (as Agent);
- Managing Investments;
- Arranging Deals in Investments;
- Advising on Investments; and
- Arranging the Provision of Custody Services

in or from the Qatar Financial Centre, subject to the restrictions and conditions set out below and in Schedule 1 (Scope of Authorisation).

### **Conditions relating to retail customers**

**Sanguine Investment Managers LLC** must not conduct any regulated activities with or for retail customers unless it has been granted an authorisation to do so by the Qatar Financial Centre Regulatory Authority.

Issued at the Qatar Financial Centre, Doha this 26<sup>th</sup> day of September 2019.



MICHAEL G. RYAN  
Chief Executive Officer



## Schedule 1 Scope of Authorisation

The authorisation granted to **Sanguine Investment Managers LLC** to carry on the regulated activity of Operating a Collective Investment Fund is restricted to the following specified product:

- (a) Unit in a Collective Investment Fund.

The authorisation granted to **Sanguine Investment Managers LLC** to carry on the regulated activities of Dealing in Investments (as Agent), Managing Investments, Arranging Deals in Investments, Advising on Investments and Arranging the Provision of Custody Services is restricted to the following specified products:

- (a) Shares;
- (b) Debt Instruments;
- (c) Warrants;
- (d) Securities Receipt;
- (e) Units in a Collective Investment Fund;
- (f) Options; and,
- (g) Rights in Investments.